

**Fax**☒ Urgent ☐ Return reply requested ☐ Original will be sent as confirmation**To:** U.S. Patent and Trademark Office  
Group Art Unit 1625**Date:** March 13, 2003**Attention:** Examiner Binta M. Robinson**Re:** U.S. Utility Patent Application  
Appl. No. 09/705,840; filed 11/06/2000  
For: Substituted 4H-Chromene and  
Analogues as Activators of Caspases and  
Inducers of Apoptosis and the Use Thereof  
Inventors: Drewe *et al.***BEC****From:** Bruce E. Chalker, Reg. No. 47,480**Pages (including cover sheet):** 10**Your Reference:****Fax No:** (703) 746-5018**Our Reference:** 1735.0410002/RWE/BEC

---

## Message

Enclosed please find a **COURTESY COPY** of the Supplemental Amendment and Reply Under 37 C.F.R. § 1.111 and ancillary document, including the return postcard, date-stamped by the U.S. Patent and Trademark Office as having received, on March 11, 2003, the documents listed thereon.

::ODMA\MHOD\MASKGF\_DC1;111400;1

---

If any portion of this transmission is not received clearly or in full,  
contact us at 202.371.2600 or f 202.371.2540.

---

This message is intended for the exclusive use of the individual or entity to which it is addressed. The message may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this communication in any way is strictly prohibited. If you have received this communication in error, please call us collect immediately, and return the original message to us at the above address via the U.S. Postal Service.

---

Sterne, Kessler, Goldstein & Fox P.L.L.C. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : [www.skgf.com](http://www.skgf.com)

COPY

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Drewe *et al.*

Appl. No. 09/705,840

Filed: November 6, 2000

For: **Substituted 4H-Chromene and  
Analogues as Activators of Caspases  
and Inducers of Apoptosis and the  
Use Thereof**

Confirmation No.: 8076

Art Unit: 1625

Examiner: Robinson, B. M.

Atty. Docket: 1735.0410002/RWE/BEC

20/E  
A. Harmon  
3/21/03

**Box Non-Fee Amendment****Supplemental Amendment And Reply Under 37 C.F.R. § 1.111**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Further to the Amendment and Reply Under 37 C.F.R. § 1.111, submitted on December 12, 2002, for the above-captioned application, Applicants submit the following Supplemental Amendment and Remarks. This Amendment is provided in the following format:

- (A) A clean version of each replacement paragraph/section/claim along with clear instructions for entry;
- (B) Starting on a separate page, appropriate remarks and arguments. 37 C.F.R. § 1.121 and MPEP 714; and
- (C) Starting on a separate page, a marked-up version entitled: "Version with markings to show changes made."

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper.

Commissioner for Patents  
March 11, 2003  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,  
or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Bruce E. Chalker  
Attorney for Applicants  
Registration No. 47,480

BEC/dab  
:ODMA\HODMA\SKGF\_DC1;110273;1  
Enclosures

COPY



Robert G. Sterne  
Edward J. Kessler  
George A. Goldstein  
David K.S. Connell  
Robert W. Esmond  
Tracy-Gene G. Durkin  
Michele A. Cimbalis  
Michael B. Ray  
Robert E. Sokol  
Eric K. Steffe  
Michael Q. Lee  
Steven B. Ludwig  
John M. Coveri  
Linda E. Akorn  
Robert C. Milling  
Lawrence B. Bugalsky  
Donald J. Featherstone  
Michael V. Messinger

Judith U. Kim  
Timothy J. Shea, Jr.  
Patrick E. Garrett  
Jeffrey J. Helvey  
Heidi L. Kraus  
Edward W. Yee  
Albert L. Fero  
Donald R. Banowit  
Peter A. Jackman  
Molly A. McCall  
Teresa U. Meder  
Jeffrey S. Weaver  
Kendrick P. Patterson  
Vincent L. Caputo  
Albert J. Fusula II  
Elisara Elson Floyd  
Thomas C. Flab  
Brian J. Del Buono

Virgil Lee Beaton  
Kimberly N. Roddick  
Theodore A. Wood  
Elizabeth J. Haas  
Bruce E. Chalker  
Joseph S. Ostroff  
Frank R. Collingham  
Christine M. Lillier  
Rae Lynn Prengaman  
Jane Shershenovich  
Lawrence J. Carroll  
George S. Bardmesser  
Daniel A. Klein  
Rodney G. Maze  
Jason D. Eisenberg  
Michael A. Specht  
Andrea J. Kamae  
Tracy L. Muller

Jon E. Wright  
Lorraine M. Yurcek  
Registered Patent Agents -  
Karen R. Markowicz  
Nancy J. Leish  
Ann E. Summerfield  
Helene C. Carlson  
Galby L. Longworth  
Matthew J. David  
Aaron L. Schwartz  
Angelique G. Uy  
Boris A. Malvanko  
Mary B. Tung  
Kathia V. Pei  
Bryan L. Stehon  
Robert A. Schwartzman  
John J. Figueroa

Timothy A. Doyle  
Jennifer R. Mahalingappa  
Teresa A. Colilla  
Jeffrey S. Lundgren  
Victoria S. Rutherford  
W. Brian Edge

Senior Counsel  
Samuel L. Fox  
Kenneth C. Bass II  
Lisa A. Oatner

\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Admitted only in Texas  
\*Practice limited to  
Federal Agencies

March 11, 2003

WRITER'S DIRECT NUMBER:

(202) 772-8630

INTERNET ADDRESS:

bchaiker@skgf.com

Commissioner for Patents  
Washington, D.C. 20231

Art Unit 1625

Box Non-Fee Amendment

Re: U.S. Utility Patent Application  
Appl. No. 09/705,840; filed November 6, 2000  
For: **Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof**  
Inventors: *Drewe et al.*  
Our Ref: 1735.0410002/RWE/BEC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Supplemental Amendment And Reply Under 37 C.F.R. § 1.111; and
2. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Applicants: Drewe *et al.*

Art Unit: 1625

Examiner: Robinson, B. M.

Application No.: 09/705,840

Docket: 1735.0410002/RVE/BEC

Filed: November 6, 2000

Atty: BEC

For: Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKG cover letter;
2. Supplemental Amendment and Reply Under 37 C.F.R. § 1.111; and
3. Return postcard.



Please Date Stamp And Return To Our Counter

Box Non-Fee Amendment